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TRANSMITTAL LETTER (General - Patent Pending)	Docket No. 0112300-1536
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In Re Application of Ryan W. Cuddy et al.

Application No. 10/661,443	Filing Date September 12, 2003	Examiner Unknown	Customer No. 29159	Group Art Unit 3713	Confirmation No. 7823
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Title: **GAMING DEVICE HAVING A PROBABILITY-ENHANCING TRIGGER SYMBOL**

COMMISSIONER FOR PATENTS:

Transmitted herewith is:

1. Transmittal Letter (General - Patent Pending) (1 page); 2. Transmittal of IDS (2 pages); 3. Information Disclosure Statement (5 pages); 4. PTO Form 1449 (5 pages); 5. Preliminary Amendment (3 pages); and 6. A postcard which we ask you to date stamp and return.

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
 - ☐ Charge the amount of _____
 - ☐ Credit any overpayment.
 - ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.


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Signature

Dated: January 11, 2005

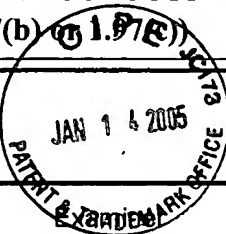
Adam H. Masia (Reg. No. 35,602)
BELL, BOYD & LLOYD, LLC
P.O. Box 1135
Chicago, IL 60690-1135
(312) 807-4284

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on January 11, 2005 (Date)	
	
Signature of Person Mailing Correspondence Heather Foster	
Typed or Printed Name of Person Mailing Correspondence	

CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) to 1.97(e))**Docket No.
0112300-1536

In Re Application Of: Ryan W. Cuddy et al.



Application No.	Filing Date	Customer No.	Group Art Unit	Confirmation No.
10/661,443	September 12, 2003	Unknown	3713	7823

Title: **GAMING DEVICE HAVING A PROBABILITY-ENHANCING TRIGGER SYMBOL**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(g))					Docket No. 0112300-1536	
In Re Application: Ryan W. Cuddy et al.						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
10/661,443	September 12, 2003	Unknown	29159	3713	7823	
Title: GAMING DEVICE HAVING A PROBABILITY-ENHANCING TRIGGER SYMBOL						
<div style="text-align: center;"> Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) </div> <p> <input type="checkbox"/> A check in the amount of _____ is attached. </p> <p> <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. </p> <div style="margin-left: 40px;"> <input type="checkbox"/> Charge the amount of _____ </div> <div style="margin-left: 40px;"> <input checked="" type="checkbox"/> Credit any overpayment. </div> <div style="margin-left: 40px;"> <input checked="" type="checkbox"/> Charge any additional fee required. </div> <p> <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. </p> <p> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. </p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Transmission by Facsimile*</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ (Date) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ Signature </div> <div style="border: 1px solid black; padding: 5px;"> _____ Typed or Printed Name of Person Signing Certificate </div> </div> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Mailing by First Class Mail</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> January 11, 2005 _____ (Date) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> Signature of Person Mailing Correspondence </div> <div style="border: 1px solid black; padding: 5px;"> Heather Foster _____ Typed or Printed Name of Person Mailing Certificate </div> </div> </div> <p> *This certificate may only be used if paying by deposit account. </p> <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"> <div style="width: 45%;"> _____ Signature </div> <div style="width: 45%;"> Dated: January 11, 2005 </div> </div> <div style="margin-top: 20px;"> Adam H. Masia (Reg. No. 35,602) BELL, BOYD & LLOYD LLC P. O. Box 1135 Chicago, Illinois 60690-1135 </div>						
CC:						



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Ryan W. Cuddy et al.
Appl. No.: 10/661,443
Conf. No.: 7823
Filed: September 12, 2003
Title: GAMING DEVICE HAVING A PROBABILITY-ENHANCING TRIGGER
SYMBOL
Art Unit: 3713
Examiner: Unknown
Docket No.: 0112300-1536

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which can be found in the parent, application no. 10/241,255, filed on September 11, 2002, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor(s)</u>
4,198,052	April 15, 1980	Gauselmann
4,448,419	May 15, 1984	Telnaes
4,838,552	June 13, 1989	Hagiwara
4,991,848	February 12, 1991	Greenwood et al.
5,085,436	February 4, 1992	Bennett
5,102,134	April 7, 1992	Smyth
5,308,065	May 3, 1994	Bridgeman et al.
5,332,228	July 6, 1994	Schultz
5,393,061	February 28, 1995	Manship et al.
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5,431,408	July 11, 1995	Adams

Appl. No. 10/661,443
Information Disclosure Statement

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5,542,669	August 6, 1996	Charron et al.
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5,823,873	October 20, 1998	Moody
5,833,537	November 10, 1998	Barrie
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6,142,873	November 7, 2000	Weiss et al.
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6,231,442 B1	May 15, 2001	Mayeroff
6,231,445 B1	May 15, 2001	Acres
6,234,897 B1	May 22, 2001	Frohm et al.
6,251,013 B1	June 26, 2001	Bennett
6,270,409 B1	August 7, 2001	Shuster
6,299,165 B1	October 9, 2001	Nagano

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6,302,398 B1	October 16, 2001	Vecchio
6,311,976 B1	November 6, 2001	Yoseloff et al.
6,315,663 B1	November 13, 2001	Sakamoto
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6,322,078 B1	November 27, 2001	Adams
6,358,144 B1	March 19, 2002	Kaddlic et al.
6,375,570 B1	April 23, 2002	Poole
6,394,902 B1	May 28, 2002	Glavich et al.
6,398,218 B1	June 4, 2002	Vancura
6,413,162 B1	July 2, 2002	Baerlocher et al.
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6,454,266 B1	September 24, 2002	Breeding, et al.
6,461,241 B1	October 8, 2002	Webb et al.
6,491,584 B2	December 10, 2002	Graham et al.
6,494,454 B2	December 17, 2002	Adams
6,604,740 B1	August 12, 2003	Singer et al.
6,616,142 B2	September 9, 2003	Adams
6,632,139 B1	October 14, 2003	Baerlocher

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<u>Document No.</u>	<u>Date</u>	<u>Country</u>
AU 199717601 B2	September 10, 1997	Australia
AU 199917318 A1	September 9, 1999	Australia
WO 9732285	September 4, 1997	Australia
EP 0984408 A2	March 8, 2000	EPO
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WO 00/76606 A1	December 21, 2000	PCT
WO 2004/025584 A2	March 25, 2004	PCT

OTHER DOCUMENTS

The Basics of Winning Video Poker (Chapter VI Deuces Wild & Chapter VII Jokers Wild) written by J. Edward Allen, published in 1990.

Boot Scootin Article written by Strictly Slots/Aristocrat Leisure Industries, PTY Ltd., published date unknown.

Black Swan Paytable Display written by IGT, published prior to 2001.

Break the Spell Advertisement written by Atronic Casino Technology, Ltd., published in 1999.

Break the Spell Article written by Strictly Slots/Atronic Casino Technology, Ltd., published in September 2000.

Break the Spell Atronic Web Page, published in January 2001.

Break the Spell Brochure, published in 1999.

Cash Chameleon Article written by Strictly Slots/Aristocrat Leisure Industries, PTY Ltd., published in April 2001.

Catch A Wave Advertisement written by IGT, published in December 2000.

Cossack Dance Advertisement written by Olympic Video Gaming, published prior to 2002.

Double Diamond Line Advertisement written by Bally Gaming Systems, published in 2000.

ENCHANTED FOREST™ Gaming Description from Aristocrat, available in 1994.

Enchanted Unicorn Advertisement written by IGT, published in 2001.

Field Testing New Slots Article, written by Strictly Slots, published in July 2000.

Fishin' Buddies Article published in Strictly Slots/Anchor Games, published in April 2001.

Happy Camper Advertisement written by IGT, published in 2001.

Introducing the "Smiling Ape" Machine Advertisement (including Joker's Wild Poker description) written by IGT, published prior to 2001.

Jackpot Party Brochure and Articles written by WMS Gaming, Inc, published in 1988.

Joker's Wild Advertisement written by IGT, published prior to 2001.

The Latest Buzz Article written by Bally Gaming Systems, published in Fall 2000.

Little Green Men, Jr. Advertisement written by A.C. Coin and Slot Services Company, published prior to 2002.

Loco Loot Article written by Strictly Slots/Aristocrat Leisure Industries, PTY Ltd., published in May 2002.

Mountain Money Article written by Strictly Slots/Aristocrat Leisure Industries, PTY Ltd., published in June 2002.

On the House Advertisement written by Olympic Video Gaming, published prior to 2002.

Penguin Pays Advertisement written by Aristocrat Incorporated, published in 1998.

REEL MAGIC™ Gaming Machine Description written by IGT, available in 1986.

Symbol Feature Description in Australian UFO Gaming Machine written by Barcrest Ltd., published in 1995.

Wild Bear Salmon Run Advertisement written by IGT, published in 2003.

Wild Streak Advertisement written by WMS Gaming, Inc., published in 2001.

Your Real Key to Gaming Success Advertisement (including Roll Over Beethoven and Wild Fortune) written by Olympic Video Gaming, published date unknown.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



Adam H. Masia
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P.O. Box 1135
Chicago, Illinois 60690-1135
Phone: (312) 807-4284

Date: January 11, 2005



INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 0112300-1536	Application No. 10/661,443
	Applicant Ryan W. Cuddy et al.	
	Filing Date September 12, 2003	Group 3713

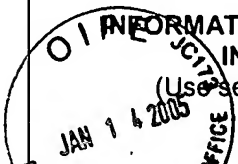
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	4,198,052	April 15, 1980	Gauselmann			
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Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 0112300-1536	Application No. 10/661,443
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	6,159,095	December 12, 2000	Frohm et al.			
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	6,322,078 B1	November 27, 2001	Adams			
	6,358,144 B1	March 19, 2002	Kaddic et al.			
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	6,398,218 B1	June 4, 2002	Vancura			
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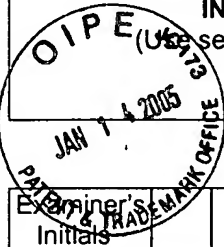
PTO Form 1449

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	6,439,993 B1	Aug. 27, 2002	O'Halloran			
	6,454,266 B1	September 24, 2002	Breeding, et al.			
	6,461,241 B1	October 8, 2002	Webb et al.			
	6,491,584 B2	December 10, 2002	Graham et al.			
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						Yes	No
	AU 199717601 B2	September 10, 1997	Australia				
	AU 199917318 A1	September 9, 1999	Australia				
	WO 9732285	September 4, 1997	Australia				
	EP 0984408 A2	March 8, 2000	EPO				
	WO 00/66235	November 9, 2000	PCT				
	WO 00/76606 A1	December 21, 2000	PCT				
	WO 2004/025584 A2	March 25, 2004	PCT				

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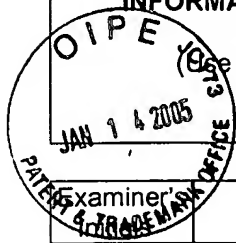
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 0112300-1536	Application No. 10/661,443
	Applicant Ryan W. Cuddy et al.	
	Filing Date September 12, 2003	Group 3713



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	Boot Scootin Article written by Strictly Slots/Aristocrat Leisure Industries, PTY Ltd., published date unknown.
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	Break the Spell Advertisement written by Atronic Casino Technology, Ltd., published in 1999.
	Break the Spell Article written by Strictly Slots/Atronic Casino Technology, Ltd., published in September 2000.
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	Break the Spell Brochure, published in 1999.
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	Cossack Dance Advertisement written by Olympic Video Gaming, published prior to 2002.
	Double Diamond Line Advertisement written by Bally Gaming Systems, published in 2000.
	ENCHANTED FOREST™ Gaming Description from Aristocrat, available in 1994.
	Enchanted Unicorn Advertisement written by IGT, published in 2001.
	Field Testing New Slots Article, written by Strictly Slots, published in July 2000.
	Fishin' Buddies Article published in Strictly Slots/Anchor Games, published in April 2001.
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	Applicant Ryan W. Cuddy et al.	
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	Joker's Wild Advertisement written by IGT, published prior to 2001.
	The Latest Buzz Article written by Bally Gaming Systems, published in Fall 2000.
	Little Green Men, Jr. Advertisement written by A.C. Coin and Slot Services Company, published prior to 2002.
	Loco Loot Article written by Strictly Slots/Aristocrat Leisure Industries, PTY Ltd., published in May 2002.
	Mountain Money Article written by Strictly Slots/Aristocrat Leisure Industries, PTY Ltd., published in June 2002.
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	Penguin Pays Advertisement written by Aristocrat Incorporated, published in 1998.
	REEL MAGIC™ Gaming Machine Description written by IGT, available in 1986.
	Symbol Feature Description in Australian UFO Gaming Machine written by Barcrest Ltd., published in 1995.
	Wild Bear Salmon Run Advertisement written by IGT, published in 2003.
	Wild Streak Advertisement written by WMS Gaming, Inc., published in 2001.
	Your Real Key to Gaming Success Advertisement (including Roll Over Beethoven and Wild Fortune) written by Olympic Video Gaming, published date unknown.

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